Electronic Acknowledgement Receipt				
EFS ID:	4337373			
Application Number:	09839888			
International Application Number:				
Confirmation Number:	5617			
Title of invention:	Open end mutual fund securitization process			
First Named Inventor/Applicant Name:	Kenneth Kiron			
Customer Number:	25541			
Filer:	James P. Muraff/Marianne Hall			
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Attorney Docket Number:	3001 P 014			
Receipt Date:	21-NOV-2008			
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Time Stamp:	18:33:44			
Application Type:	Utility under 35 USC 111(a)			

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		ResponsetoOA01US5.pdf	679477	yes	18
			bdcfbe2886fac4662485bc41ef87f56d59b3 ca7a	1 ' 1	

Multipart Description/PDF files in .zip description					
Document Descri	ption	Start	End		
Amendment/Req. Reconsideration-After Non-Final Reject		1	1		
Claims		2	8		
Applicant Arguments/Remarks Made in an Amendment		9	12		
Drawings-only black and white line drawings		13	15		
Claims		16	18		

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#### New Applications Under 35 U.S.C. 111

Warnings:

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.